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5 **UNITED STATES DISTRICT COURT**  
6 **DISTRICT OF NEVADA**  
7

8 RONALD KWAME GAINES,

9 *Petitioner,*

10 vs.

12 DWIGHT NEVEN, *et al.,*

13 *Respondents.*  
14

2:10-cv-01367-RLH-NJK

ORDER

15 This habeas matter under 28 U.S.C. § 2254 comes before the Court on: (a) petitioner's  
16 motion (#39) for partial dismissal; (b) a previously deferred procedural default issue from  
17 respondents' motion (#30) to dismiss; and (c) petitioner's motion (#40) to expedite.

18 ***Partial Dismissal***

19 Petitioner seeks a partial dismissal of Ground 24 to the extent that he alleges therein  
20 that he was denied effective assistance of appellate counsel. The motion follows upon the  
21 Court's holding that Ground 24 is unexhausted to that extent and will be granted.

22 ***Procedural Default***

23 In the motion to dismiss, respondents additionally contended that Ground 18 is  
24 procedurally defaulted. The Court deferred ruling on this issue until after petitioner had  
25 elected which relief to request regarding the unexhausted claim in Ground 24. #38, at 4, lines  
26 20-22.

27 Respondents contend in particular that federal Ground 18 is procedurally defaulted  
28 because the Supreme Court of Nevada held that the claim corresponding to Ground 18

1 presented on state post-conviction review was procedurally barred under N.R.S.  
2 34.810(1)(b)(2) because the claim could have been raised on direct appeal.

3 In federal Ground 18, petitioner alleges, *inter alia*, that he was denied due process of  
4 law in violation of the Sixth and Fourteenth Amendments on his habitual criminal adjudication  
5 because the State allegedly failed to provide certified copies of the prior judgments of  
6 conviction and the state district court allegedly abused its discretion when it adjudicated him  
7 as a habitual criminal without such certified copies. Petitioner used a copy of the pages  
8 alleging state Ground 18 in his state post-conviction petition to allege federal Ground 18.<sup>1</sup>

9 On direct appeal, Gaines raised one issue regarding the habitual criminal adjudication.  
10 He claimed that he was denied due process of law when the district court allegedly failed to  
11 consider anything beyond his prior convictions in deciding whether to adjudicate him as a  
12 habitual criminal. Gaines presented no challenge on direct appeal either to the existence of  
13 the underlying convictions or of the sufficiency of the State's proof of the convictions. He  
14 contended exclusively that he was denied due process because the district court did not  
15 consider anything *beyond* the prior convictions in adjudicating him a habitual criminal.<sup>2</sup>

16 The Supreme Court of Nevada rejected the claim on the following grounds:

17 Gaines contends that the district court improperly  
18 adjudicated him a habitual criminal based exclusively on the  
19 number of his prior convictions. Under Nevada's habitual criminal  
20 statute, however, a district court may enhance the sentence of a  
21 defendant with two prior felony convictions as long as the record  
22 indicates that the district court exercised and appreciated its  
discretion. Here, the district court noted on the record that it  
understood that its decision to sentence Gaines as a habitual  
criminal was discretionary despite Gaines' requisite number of  
prior felony convictions. Thus, we conclude that the district court  
did not improperly adjudicate Gaines a habitual criminal.

23 #31-3, at 5-6 (electronic docketing pages 60-61)(citation footnote omitted).

24 Thereafter, when state Ground 18, as described above, was presented on the state  
25 post-conviction appeal, the Supreme Court of Nevada held as follows:

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27 <sup>1</sup>See #3-2, at electronic docketing pages 16-18 (handwritten pages 54-54B).

28 <sup>2</sup>#31-3, Ex. 21, at 1 & 15-17 (electronic docketing pages 9 & 23-25).

1 . . . Gaines contends that the district court erred at  
 2 sentencing by adjudicating him as a habitual criminal. This claim  
 3 was improperly raised below. See NRS 34.810(1)(b)(2).  
 4 Moreover, we previously considered and rejected this claim on  
 5 direct appeal, (*Gaines v. State*, Docket No. 47547 (Order of  
 Affirmance, March 5, 2008)), and the doctrine of the law of the  
 case precludes further litigation of the issue, *Hall v. State*, 91 Nev.  
 314, 316, 535 P.2d 797, 799 (1975).

6 #31-11, Ex. 61, at 2 (at electronic docketing page 4).

7 Under the procedural default doctrine, federal review of a habeas claim may be barred  
 8 if the state courts rejected the claim on an independent and adequate state law ground due  
 9 to a procedural default by the petitioner. Review of a defaulted claim will be barred even if  
 10 the state court also rejected the claim on the merits in the same decision. Federal habeas  
 11 review will be barred on claims rejected on an independent and adequate state law ground  
 12 unless the petitioner can demonstrate either: (a) cause for the procedural default and actual  
 13 prejudice from the alleged violation of federal law; or (b) that a fundamental miscarriage of  
 14 justice will result in the absence of review. See, e.g., *Bennett v. Mueller*, 322 F.3d 573, 580  
 15 (9<sup>th</sup> Cir. 2003).

16 To demonstrate cause, the petitioner must establish that some external and objective  
 17 factor impeded efforts to comply with the state's procedural rule. E.g., *Murray v. Carrier*, 477  
 18 U.S. 478, 488 (1986); *Hivala v. Wood*, 195 F.3d 1098, 1105 (9<sup>th</sup> Cir. 1999). To demonstrate  
 19 prejudice, he must show that the alleged error resulted in actual harm. E.g., *Vickers v.*  
 20 *Stewart*, 144 F.3d 613, 617 (9<sup>th</sup> Cir. 1998). Both cause and prejudice must be established.  
 21 *Murray*, 477 U.S. at 494.

22 The Supreme Court of Nevada expressly invoked N.R.S. 34.810(1)(b)(2) as an  
 23 alternative independent and adequate state law ground for rejecting the claim. Petitioner  
 24 does not persuasively contend otherwise.

25 Petitioner's arguments in his filings (## 34 & 35) seeking to overcome the procedural  
 26 default of Ground 18 are at best meritless and at worst frivolous. The record nonetheless  
 27 reflects a possibly viable basis for overcoming the procedural default. Petitioner possibly may  
 28 be able to demonstrate cause and prejudice based upon alleged ineffective assistance of

1 counsel on direct appeal (as opposed to on the state post-conviction appeal) in failing to raise  
2 the substantive claim in Ground 18 on direct appeal. Petitioner did in fact exhaust a separate  
3 and independent claim of ineffective assistance of direct appeal counsel in failing to raise the  
4 substantive claim in Ground 18 on direct appeal.<sup>3</sup>

5 The Court thus again will defer a final resolution of the procedural default defense  
6 raised to Ground 18 until consideration of the remaining merits issues in the case following  
7 the filing of an answer and copies of any additional relevant state court record materials. In  
8 the answer, as to Ground 18, respondents should address: (a) the question of whether  
9 petitioner was deprived of effective assistance of counsel when counsel did not pursue the  
10 substantive claim in Ground 18 on direct appeal, as to which respondents may incorporate  
11 argument on related ground(s); and (b) in the alternative, the merits of Ground 18.  
12 Respondents should assume *arguendo* that the two related issues potentially may be subject  
13 to *de novo* review by this Court rather than the deferential standard of review under AEDPA.  
14 Respondents further should assume *arguendo* that the holding in *Cullen v. Pinholster*, 131  
15 S.Ct. 1388 (2011), potentially may be inapplicable to such *de novo* review. Respondents  
16 therefore should file copies of all state court record materials relevant to a *de novo* review by  
17 this Court, to the extent that such materials are not already on file herein.

### 18 ***Motion to Expedite***

19 The motion to expedite will be granted to the extent that the Court will endeavor to  
20 reach and decide the case as promptly as it can. The motion will be denied to the extent that  
21 petitioner effectively would seek to have his case decided before other habeas petitioners with  
22 cases that have been pending longer and/or that were filed earlier. All such petitioners allege  
23 that they are being held in violation of the Constitution, and all are equally entitled to have  
24 their cases decided as promptly as the Court is able. A motion to expedite does not provide  
25 the Court a basis to put one petitioner's case ahead of another petitioner's case. Any judicial  
26 delays herein are due to the handling of other habeas cases, not general civil litigation.

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28 <sup>3</sup>See #31-10, Ex. 49, at 5-6 & 7-8 (at electric docketing pages 102-03 & 104-05).

1 In this particular case, petitioner points to the three-month delay since the filing of his  
2 motion to dismiss the unexhausted claim, which was unopposed. More was involved,  
3 however, than simply granting the motion and ordering a response on the merits. The  
4 procedural default issue from the motion to dismiss also remained for adjudication. That  
5 issue required consideration of petitioner's extensive filings presenting multiple, ultimately  
6 meritless, arguments.

7 The Court further has preliminarily reviewed petitioner's arguments in the motion to  
8 expedite that his habitual criminal adjudication was unconstitutional. Petitioner has not  
9 persuasively established on the face of the current record that he necessarily is being held  
10 in violation of the Constitution, such that his petition should be adjudicated prior to other  
11 petitions that have been pending longer and/or that were filed earlier. First, petitioner's  
12 arguments in the motion regarding the habitual criminal adjudication include claims and  
13 allegations that do not appear to be encompassed within any ground remaining before the  
14 Court, including in Grounds 16 through 18 pertaining to the habitual criminal adjudication.  
15 Second, the arguments in any event appear on the showing made to be of, at best, debatable  
16 merit as establishing a federal constitutional violation. Not every alleged state law error gives  
17 rise to a due process violation. The Court defers definitive consideration of the claims in the  
18 grounds that do remain until consideration of a final order on the merits.

19 IT THEREFORE IS ORDERED petitioner's motion (#39) for partial dismissal is  
20 GRANTED and Ground 24 is DISMISSED in part to the extent that the ground claims  
21 ineffective assistance of appellate counsel.

22 IT FURTHER IS ORDERED that the Court defers a final resolution of the procedural  
23 default defense raised as to Ground 18 until consideration of the remaining merits issues in  
24 the case following the filing of an answer.

25 IT FURTHER IS ORDERED that petitioner's motion (#40) to expedite is GRANTED IN  
26 PART and DENIED IN PART, such that the Court will endeavor to reach and decide the case  
27 as promptly as it can but this case will not be moved ahead of other habeas matters that have  
28 been pending longer and/or that were filed earlier.

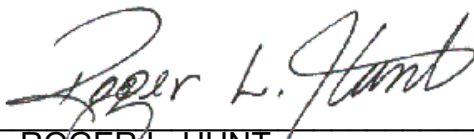
1 IT FURTHER IS ORDERED that, within **thirty (30) days** of entry of this order,  
2 respondents shall file an answer to the remaining grounds in the petition. In responding to  
3 Ground 18, respondents shall comply with the directives set forth herein, at page 4, lines 7-  
4 17.

5 IT FURTHER IS ORDERED, so that the petitioner's allegations of falsified state court  
6 records may be resolved conclusively, respondents shall file with the answer supplemental  
7 exhibits with certified copies of the following state court record filings, *in their entirety with all*  
8 *attachments with the filing in the state court record*, designated in the supplemental exhibits  
9 with an "A" suffix for previously-filed records (e.g., "Exhibit 3-A"): (a) Exhibits 3, 4, 9 and 14;  
10 (b) any other state court records from the time of the habitual criminal adjudication bearing  
11 on the relevant content of the state court record when petitioner was adjudicated a habitual  
12 criminal; and (c) as additional separate exhibits, certified copies of the prior Eighth Judicial  
13 District Court judgments of conviction, as amended where relevant at the time, in Nos.  
14 C102931, C154550, and C198972, reflecting what would have been available from the clerk  
15 of the same court that adjudicated petitioner as a habitual criminal if the authenticity of the  
16 judgments relied upon at the adjudication had been challenged.

17 IT FURTHER IS ORDERED that petitioner shall have **thirty (30) days** from service of  
18 the answer within which to file a reply.

19 **IT FURTHER IS ORDERED that no extensions of the deadlines established herein**  
20 **will be considered except in the most extraordinary circumstances. Requests for**  
21 **extensions of time based upon scheduling conflicts between the demands of cases in**  
22 **this District should be sought in the later-filed case.**

23 DATED: May 28, 2013

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ROGER L. HUNT  
United States District Judge  
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